ISSN: 2958-5376 ISSN-L: 2958-5376

MUSEUM.KZ



ҒЫЛЫМИ-ПРАКТИКАЛЫҚ ЖУРНАЛ∗НАУЧНО-ПРАКТИЧЕСКИЙ ЖУРНАЛ∗SCIENTIFIC AND PRACTICAL JOURNAL

2(6)2024

ENALWISE NALWISE NALWI



SHALM CHE THAT THE CHE THAT THE CHE

SOME REMARKS ON THE *ULUS* (PEOPLE) OF JOCHIAS SEEN BY THEIR CONTEMPORIES

Joo-Yup Lee

University of Toronto (Canada)

Abstract. The Ulus of Jochi, better known as the Golden Horde, was a western Mongol state founded in the middle of the thirteenth century following the Mongol conquest of the Qipchaq Steppe and the Rus' principalities. It was named "Ulus of Jochi" because it was ruled by the heirs of Jochi (d. 1225), Chinggis Khan (r. 1206–27)'s eldest son.

This paper will examine how the Jochid *ulus* (in the sense of people) were identified in the sources composed in the Turko-Mongol states of the Mongol and post-Mongol periods. More specifically, it will conduct a brief but broad-range examination of Ilkhanid, Timurid, Shibanid Uzbek, Crimean Tatar, Ottoman, and "Kazakh" sources in order to investigate the nature of Jochid *ulus* identity as understood by their contemporaries. The thesis that this paper will defend is that the Jochid people, who were identified as *Jochi eli* as well as *Uzbek*, *Tatar*, and *Toqmaq* in various sources, were viewed as one and the same people by their contemporaries, and that the modern Kazakhs, whose ancestors were identified with these group identities in the sources, are the most representative descendants of the Jochid *ulus*. As a result of the study, the author focused on different opinions regarding the history of the development of the Zhoshi ulus and unified historical data relating to the period of existence of the ulus.

Materials and Methods. In the course of writing the article, such general methods as sorting, collecting, systematizing, comparing and conducting an examination of data related to the history of the Zhochi ulus were used. Among them, the historical similarity of data from a scientific point of view was highlighted by systematizing and comparing the functions performed by the descendants of Jochi in governing the country.

Keywords: Ulus Jochi, Horde, Turkic-Mongolian, Chagatai language, khan, sultan, Kipchak, Kazakh, Shaybanids.

For citation: Joo-Yup Lee. Some remarks on the ulus (people) of Jochias Seen by their contempories // MUSEUM.KZ. 2024. №2 (6), p.p. 52-59. DOI 10.59103/muzkz.2024.06.07

ЗАМАНДАСТАР КӨЗІМЕН ЖОШЫ ҰЛЫСЫ (ХАЛҚЫ) ТУРАЛЫ КЕЙБІР ЕСКЕРТПЕЛЕР

Джю-Юп Ли

Торонто университеті (Канада)

Андатпа. Қазіргі тарихнамада Алтын Орда деген атпен белгілі Жошы ұлысы XIII ғасырдың ортасында моңғолдар Дешті Қыпшақ пен орыс княздіктерін жаулап алғаннан кейін құрылған Батыс моңғол мемлекеті. Ол «Жошы ұлысы» деп Шыңғыс ханның (1206-1227 ж.ж.) үлкен ұлы Жошының (1225 ж. өл.) ұрпақтары басқарғандықтан аталды.

Мақалада моңғол және одан кейінгі кезеңдегі түркі-моңғол мемлекеттерінде жазылған дереккөздердегі Жошы ұлыстарына (ұлыс – халық дегенді білдіреді) замандастырының көзқарасы мәселесі қарастырылады. Сол замандастарының Жошы ұлысының болмыс табиғатына қатысты түсінігін зерттеу мақсатында илхандық, тимуридтік, Шибан-өзбек, Қырым-татар, Осман және «қазақ» деректеріне кішігірім, бірақ кең ауқымды зерттеу жүргізілді. Бұл мақаланың негізгі тезисі – әртүрлі деректерде Жошы елі, өзбектер, татарлар, тоқмақ деп аталған Жошы ұлысының халқын замандастары бір халық деп есептеген. Сонымен қатар дереккөздерде ата-бабалары осы халықпен сәйкестендірілген қазіргі қазақтар Жошы ұлысының негізгі мұрагерлері болып табылады. Жұмыстың нәтижесінде автор, Жошы ұлысының даму тарихына байланысты әртүрлі

пікірлерге тоқталып, ұлыстың өмір сүрген кезеңіне қатысты тарихи деректерді бір жүйеге келтірген.

Зерттеу материалдары мен әдістері. Мақала жазу барысында Жошы ұлысы тарихына қатысты деректерді жинақтау, жүйелеу, сұрыптау, салыстыру, сараптама жасау сияқты жалпыға ортақ әдіс-тәсілдер қолданылды.

Тірек сөздер: Жошы ұлысы, орда, түркі-моңғол, шағатай тілі, хан, сұлтан, қыпшақ, қазақ, шибанидтер.

Сілтеме жасау үшін: Джю-Юп Ли. Замандастар көзімен Жошы ұлысы (халқы) туралы кейбір ескертпелер // MUSEUM.KZ. 2024. №2 (6). 52-59 бб. DOI 10.59103/muzkz.2024.06.07

НЕКОТОРЫЕ ЗАМЕЧАНИЯ ОБ УЛУСЕ (НАРОДЕ) ДЖУЧИ ГЛАЗАМИ СОВРЕМЕННИКОВ

Джю-Юп Ли

Университет Торонто (Канада)

Аннотация. В первой половине XIII века результате завоевания Дашт-и Кыпчака и русских княжеств монголами эти территории вошли в состав западно-монгольского государства, именовавшегося в источниках Улусом Джучи, в современной историографии – Золотой Ордой. Первое наименование он получил, поскольку этим улусом Монгольской империи правили потомки старшего сына Чингисхана (годы правления 1206-1227) Джучи (ум. 1225).

В статье анализируются сведения источников, написанных в пределах тюркомонгольских государств монгольского и послемонгольского периодов, об идентификации народа Улуса Джучи (улус как люди). В частности, автором изучены сведения широкого круга средневековых ильханидских, тимуридских, шибанидско-узбекских, крымскотатарских, османских и казахских источников о том, как воспринимали современники идентичность населения Улуса Джучи. Автором обосновывается тезис о том, что встречающиеся в различных источниках обозначения населения Улуса Джучи такие как Джучи эли (Жошы елі), узбеки, татары и токмаки используются для всего населения и они подразумевают один и тот же народ. Кроме того, по мнению автора, современные казахи, предки которых идентифицировались с этими групповыми идентичностями в источниках, являются наиболее представительными потомками Улуса Джучи. В результате проведенного исследования автор остановился на различных мнениях относительно истории развития Улуса Джучи и унифицировал исторические данные, касающиеся периода существования улуса.

Материалы и методы исследования. В ходе написания статьи использовались такие общие методы, как сбор, систематизация, сортировка, сравнение и проведение экспертизы данных, связанных с историей Улуса Джучи.

Ключевые слова: Улус Джучи, Орда, тюрко-монгольский, чагатайский язык, хан, султан, кыпчак, казах, шибаниды.

Для цитирования: Джю-Юп Ли. Некоторые замечания об Улусе (народе) Джучи глазами современников // MUSEUM.KZ. 2024. №2 (6). С. 52-59. DOI 10.59103/muzkz.2024.06.07

Introduction. The Ilkhanid View of the Ulus of Jochi. The Ilkhanid Mongol view of the ulus of Jochi is well presented in the Jāmiʿ al-tavārīkh, the universal history written in Persian by Rashīd al-Dīn (d. 1318) in the early fourteenth century. Rashīd al-Dīn refers to the Jochid people as *ulūs-i Jūchī*, which he divides into "Batu's ulus (ulūs-i Batu)" and "Orda's ulus (ulūs-i Orda)". Rashīd al-Dīn adds that Batu commanded half of Jochi Khan's troops while Orda commanded the

other half [Thackston, 1998]. Importantly, Mayqï (Bāyqū), whom the modern Kazakhs view as their ancestor, is mentioned in the *Jāmiʿal-tavārīkh* as one of the four commanders (amīrs) given to Jochi by Chinggis Khan [Qazaqstan tarihy turaly Mońgol derektemeler, 2006]. Mayqï later commanded the right wing of Batu's army [Thackston, 1998].

The ulus (people) of the Jochid state began to be called Uzbek from the reign of Uzbek Khan (r. 1313–41) [Joo-Yup Lee, 2016]. Accordingly, the Ilkhanid historian Ḥamd Allāh Mustaufī Qazvīnī refers to the army of Uzbek Khan that invaded the Ilkhanate in the mid-fourteenth century as Uzbeks (Uzbakiyān) and calls the Jochid state "the kingdom of Uzbek (mamlakat-i Uzbak)" in his Persian history Tārīkh-i guzīda [Tizengauzen, 1941]. Qazvīnī's son Zain al-Dīn, who added the description of the events that took place in Iran between 1341 and 1390 to the Tārīkh-i guzīda, also designates the Ulus of Jochi ruled by Jānī Beg Khan (r. 1342–57), son of Uzbek Khan, as "the Ullus of Uzbek (ulūs-i Uzbak)" [Tizengauzen, 1941: 97].

The Timurid View of the Ulus of Jochi. Like the Ilkhanid historians, the Timurid historians referred to the people of the Jochid state as ulūs-i Jūchī and Uzbek. In addition, they used the term *Toqmaq* as the designation of the Jochid *ulus*. Notably, the Zafar-nāma by Sharaf al-Dīn ʿAlī Yazdī (d. 1454), completed in 1425 and dedicated to Ibrāhīm Sulṭān (r. 1415–35), son of Shāhrukh and grandson of Temür (r. 1370–1405), uses both ulūs-i Jūchī and Uzbek for the Jochid nomads, including the Kazakh ancestors, that is, the nomads of the eastern Qipchaq Steppe. For instance, Yazdī relates that when Temür crossed the Syr Darya and invaded the Qipchaq Steppe, the Jochid ruler Urus Khan (r. ca. 1368–78) brought together "all the Jochid people (tamām-i ulūs-i Jūchī)" in response [Sharaf al-Dīn ʿAlī Yazdī, 1957]. Yazdī also writes in the Zafarnāma that "some of the Uzbek people (baʿzī ulūs-i Uzbak)" were pillaged during Temür's campaign in "the right wing of the Ulus of Jochi Khan (ulūs-i dast-i rāst-i Jūchī khān)" [Sharaf al-Dīn ʿAlī Yazdī, 1957: 541]. Elsewhere, Yazdī refers to the envoys dispatched to Temür by Edigü (d. 1419) and Temür Qutluq Khan (r. 1397–99), who became the new rulers of the Jochid Ulus after Toqtamīsh Khan's downfall, as "the Uzbek envoys (īlchiyān-i Uzbak)" [Sharaf al-Dīn ʿAlī Yazdī, 1957: 34].

Other Timurid historians also used both ulūs-i Jūchī and Uzbek to refer to the Jochid nomads. Niẓām al-Dīn Shāmī, who composed another Zafar-nāma, the earliest known history of Temür, which he wrote in Persian in 1404 at the order of Temür himself, refers to the Jochi realm as "the Uzbek domain (vilāyat-i Uzbīk)" in his work when describing a Jalayir amīr who revolted against Temür and fled to Urus Khan [Tauer, 1937]. Similarly, Muʿīn al-Dīn Naṭanzī refers to the throne of the western wing of the Jochid state, which Urus Khan had captured, as "the Uzbek throne (takht-i Uzbak)" in his Muntakhab al-tavārīkh-i Muʿīnī, [Aubin, 1957] a general history from Creation to 1413–14, written in Persian in 1413–14 for Shāhrukh (r. 1405–47), son of Temür. Describing the conquest of the Ulus of Jochi by Temür, Naṭanzī states that "the entire capital of the Uzbeks was destroyed by the Chaghatay (majmūʿ-i pāytakht-i uzbak dar zīr-i dast va pāy-i jaghatāy ʿālīyahā sāfilahā shud)" [J. Aubin, 1957: 349]. He also designates the domains of Temür Malik, son of Urus Khan, as "the Ulus of Jochi (ulūs-i Jūchī)" in his work [Aubin, 1957: 427].

Timurid historians also used the term Toqmaq as a designation for the Jochid *ulus* [Gō, de Rachewiltz, Krueger, Ulaan, 1990] For instance, Naṭanzī employs the term *Toqmaq* to refer to the armies of both Temür Malik and Temür's protégé Toqtamïsh. He calls the army of the former "the Toqmaq troublemakers (būlghāūlān-i Tūqmāq)" and the army of the latter "the Toqmaq army (lashkar-i Tūqmāq)" [Aubin, 1957: 425-436].

Here, one should note that the *ulus* of Urus Khan and Toqtamïsh Khan, who were Jochid leaders from modern-day Kazakhstan, that is, the eastern Qipchaq Steppe, were not distinguished from the right wing Jochid ulus by Timurid historians. In other words, Timurid historians did not differentiate between the Kazakh ancestors inhabiting the eastern Qipchaq Steppe and their western counterparts residing in the western Qipchaq Steppe. The Shibanid Uzbek View of the Ulus of Jochi. The Shibanid Uzbek historians identified the Uzbeks with the ulus of Jochi. Notably, Ötämiš Ḥājī, who wrote the Tārīkh-i Dūst Sulṭān or Chingīz-nāma, a history of the Ulus of Jochid, in Chaghatay Turkic in Khorezm in the 1550s, refers to the Jochid ulus as Uzbeks. He writes as

3

follows: Again, during the time of Berke Khan, the Uzbek people became Muslim. After that, they turned away from religion and became unbelievers. This time, Uzbek Khan became a Muslim. Since then, the Uzbek people have not abandoned Islam (Basa, Barkä Ḥān zamanīnda Özbeg ṭā'ifasī musulmān bolup erdilär. Olardīn song yenä murtadd bolup kāfir bolup erdilär. Bu yol ki Öz Beg Ḥān musulmān boldī. Andīn bärü Özbeg ṭā'ifasīnīng Islāmī taġayyur tapmadī) [Kawaguchi, Nagamine, 2008].

Abū al-Ghāzī Bahādur Khan (r. 1644–63) attributes the origin of the designation Uzbek to Uzbek Khan in his Šajara-i Türk, a history of the Chinggisids up to the 'Arabshāhid Uzbek dynasty. He explains that Jochi eli became Uzbek eli after Uzbek Khan's reign as follows:

[Uzbek Khan] brought the *el* and *ulus* to the faith of Islam. Thanks to this possessor of good fortune, all the people had the honor of receiving the glory of Islam. It is after him that all the *el* of Jochi was called the *el* of Uzbek (el ulusnï dīn-i islāmġa körküzdi barča ḥalq ol ṣāḥib-i davlatnïng sababïndïn šaraf-i islāmġa mušarraf boldïlar andïn song barča Jochi elini Özbäk eli tidilär) [I. Desmaisons, 1970].

Just as the Timurd historians viewed the ancestors of the Kazakhs inhabiting the eastern Qipchaq Steppe and their western counterparts as one and the same Jochid people, Uzbek historians also viewed the Shibanid Uzbeks and the Kazakhs as belonging to the same Uzbek people. Notably, the Uzbek court historian Fażlallāh b. Rūzbihān Khunjī (d. 1521) writes in his Mihmān-nāma-i Bukhārā, which provides a first-hand account of Muḥammad Shībānī Khan's third campaign against the Kazakhs, that there are three branches (tāyifa) that "belong to the Uzbeks (mansūb bi-Uzbak)." The first is the Shibanids (Shibānīyān). The second is the Kazakhs (Qazāq), "who are, in strength and ferocity, well known throughout the world (ki dar quvva va ba's mashhūr-i āfāqand)." The third is the Manghit (Manfit [sic]), "who are the rulers of Astrakhan (ki īshān pādshāhān-i Hājjī Tarkhān-and)" [Tārīkh-i pādshāhī-i Muḥammad Shībānī, 1962]. Khunjī thus states that "the Kazakhs are a branch of the Uzbeks (Qazzāq yik ṭāyifa az Uzbak-and)" [Tārīkh-i pādshāhī-i Muḥammad Shībānī, 1962: 171]. Although Khunjī does not mention the Crimean Tatars here, in all likelihood, he identified them with the Manghits. In short, the Shibanid Uzbek historians identified the Jochid *ulus* with the Shibanid Uzbeks, Tatars, and Kazakhs.

The Crimean Tatar View of the Ulus of Jochi. Unlike the Ilkhanid, Timurid, and Shibanid Uzbek historians, who used Uzbek as a new name of the Jochid *ulus*, the Crimean Tatar historians used, for the nomadic people of the Jochid realm (ulus-i Cüci), the term *Tatar*, which they also employed as a self-name. The term Tatar was a name that was used to denote the Mongols by the Muslim writers and the Rus' chroniclers when they first came into contact with the former. At some point, unlike their eastern Jochind counterparts (who used the self-name Uzbek), the Crimean Tatars adopted *Tatar* as a self-designation. The Crimean histories produced from the sixteenth century onwards and various diplomatic letters used Tatar as a self-appellation [Ünal, Gürülkan, 2013]. Notably, the Es-Sebu's-Seyyar fi Ahbar-ı Mulük-ü Tatar, a history of the Ulus of Jochi and the Crimean Khanate composed by Sayyid Muḥammad Rezā in 1737, refers to the Jochid people as Tatars. For instance, mentioning the conversion of the Jochid people to Islam during the reigns of Berke Khan and Uzbek Khan, it calls the former Tatars [Abduzhemilev, 2019]. Elsewhere, it refers to the Mongol army led by Hülegü's commander Kitbuqa, who was defeated by the Mamluks, as Tatars [Abduzhemilev, 2019: 87].

The 'Umdat al-aḥbār is another history of the Ulus of Jochi and the Crimean Khanate written by 'Abd al-Ghaffār Qırımī's in Ottoman Turkish in 1744, which provides insights into the Crimean Tatar view of Jochid *ulus*. Qırımī uses *Tatar* or the phrase "Mongols and Tatars" to designate the Crimean Tatars as well as the Jochid *ulus* in his work. For instance, he writes that Berke Khan "led all the Mongol and Tatar tribes to Islam and ruled for about 16 years (cümle tavâif-i Moğol ve Tatar'ı İslâma götürdü ale'l-ihtilâf on altı yıl han-ı alîşân olub…)" [Mirgaleyev, 2014].

Like the above-mentioned historians, Qırımī applies the term *Tatar* to both left and right wings of the Ulus of Jochi, without differentiating the two. He refers to Toqtamïsh Khan, the

Jochid ruler from the eastern Qipchaq Steppe, that is, modern-day Kazakhstan, and his army as Tatars, when describing his invasion of Transoxiana, which was under Temür's rule [I. M. Mirgaleyev, 2014: 68-92]. Importantly, Qırımī also identified the Tatars with the Uzbeks. Mentioning the Islamization of the Jochid people, he writes, "The Muslim Tatars were called the Uzbek people because of this reason (İslâm ehli olan Tatar'a Özbek Halkı dimesine bâis işbu sebebdendir)" [Mirgaleyev, 2014: 55-75]. In short, Jochi's *ulus* (*ulus-i Cüci*), Uzbek, and Tatar were all regarded as the same people by Crimean Tatar historians.

The Ottoman View of the Ulus of Jochi. Like the Crimean Tatar historians, the Ottoman historians employed the term *Tatar* to denote the Jochid people. Notably, the Ottoman historian Muṣṭafā ʿĀlī (d. 1600) used Tatar to denote the nomads of the Jochid realm in his universal history, Künhü'l-aḥbār. For instance, he employs the term *Tatar* to refer to the Jochid people ruled by such khans as Batu, Urus, and Toqtamïsh, among others [Derya Örs, 2021]. Ālī also uses *Tatar* along with Moġul to denote the Mongols. He writes that the third volume of his work covers the history of the Tatar people (*kavm-i Tatar*), namely, the Chinggisids and Timurids (Āl-i Timur u Āl-i Cengizī) [Joo-Yup Lee, 2021].

Similarly, Evliya Çelebi (d. c. 1684), the celebrated Ottoman traveler, also used the name Tatar, which he applies to Temür and the Mongols, to refer to the people of the Jochid realm. For instance, he writes that "the Tatars of Hülegü, the Tatars of Chinggis Khan, the Tatars of Temür, and the Tatars of Toqtamïsh Khan once came to Crimea and left after assaulting and plundering it (*Hulāgū Tatarı ve* Cingiz Hān Tatarı ve Timur Leng Tatarı ve Tohtamış Hān Tatarları Kırım'a gelüp nehb [ü] gāretler edüp gitimişlerdir)" [Dağlı, Kahraman, Dankoff, 2000].

In short, the Ottoman writers such as Muṣṭafā ʿĀlī and Evliya Çelebi regarded the nomads of the Jochid realm, including modern-day Kazakhstan, and the Mongols as belonging to the same Tatar people. The Mamluk View of the *Ulus* of Jochi. When the Mongols first appeared in the Islamic world in the early 13th century, Muslim writers generally referred to them as Tatars (*Tātār* or *Tatār*) or Mongols (*Mughūl*). They applied *Tatar* to the Chinggisid-led nomads of the Mongol states, which included the Ulus of Jochi (Golden Horde). Mamluk chroniclers followed this Muslim practice and referred to the Jochid *ulus* (as well as the Mongols) as Tatars. For instance, al-Malaṭī, a late Mamuk historian, refers to the ruler of the Ulus of Jochi as "the king of the Tatars in the Land of the Qipchaqs (malik al-tatār bi-Dasht Qibjaq)" [Umar 'Abd al-Salām Tadmurī, 2002] Ibn Taghrībirdī, a fifteenth century Mamluk historian, also refers to the ruler of the Ulus of Jochi as "the king of the Tatars (ṣāḥib al-Dasht wal-tatar)" [Muḥammad Amīn, 2006].

Like the Ilkhanid and Timurid histories, some Mamluk histories also used the designation Uzbek to refer to the Jochid people/state from the fourteenth century. For instance, the Ulus of Jochi controlled by the Mongol military commander Mamay (d. 1380) is called "the territories of Uzbek (*bilād-i Uzbak*)" in the *Tārīkh* al-duwal wa al-mulūk [Sbornik materialov, 1884].

The "Kazakh" View of the *Ulus* of Jochi. Perhaps, the works of Muḥammad Ḥaidar Dughlāt (d. 1551) and Qādir 'Alī Bek Jalāyirī may tell us how the pre-modern Kazakhs viewed themselves as a Jochid *ulus*. Although the former was a member of the Chaghatyid *ulus*, the Dughlat, the tribe to which he belonged, has now become Ulu Jüz (Senior Horde) Kazakhs. The latter was a member of the Kazakh Jalayir tribe, also now belonging to the Ulu Jüz.

Muḥammad Ḥaidar uses the terms *ulūs-i Jūchī* and *Uzbek* when referring to the Jochid people of the Qipchaq Steppe in his *Tārīkh-i Rashīdī*, a history of the Moghul Khanate written in Persian in 1546. For instance, he refers to Abū al-Khair Khan (r. 1428–68), the progenitor of the Uzbek Khanate, as "the greatest ruler (*pādshāh*) of the Ulus of Jochi" ['Abbāsqulī Ghaffārī Fard, 2004]. Like the above-mentioned Uzbek historians, Muḥammad Ḥaidar regarded the Kazakhs of his time as *Uzbek*s. He refers to the Jochid nomads led by Jānībeg Khan and Girāy Khan, the founders of the Kazakh Khanate, not only as Kazakhs, but also as "*qazaq* Uzbeks (*Uzbak-i qazāq*)". Furthermore, praising 'Abd al-Rashīd Khan (r. 1533–60), the Moghul khan to whom he dedicated his work, for having achieved victory over the Kazakhs, Muḥammad Ḥaidar states that 'Abd al-Rashīd Khan "triumphed over the Uzbeks (bar Uzbak zafar yāft)" ['Abbāsqulī Ghaffārī

Fard, 2004: 187]. He also refers to the domain of (Jānībeg Khans' grandson) Tāhir Khan (r. 1523–33), that is, modern-day Kazakhstan as "Uzbekistan (*Uzbakistān*)" ['Abbāsqulī Ghaffārī Fard, 2004: 541].

Similarly, Qādir 'Alī Bek Jalāyirī refers to the Kazakh *ulus* as *Uzbek*s in his Jāmi' altavārīkh, a Chaghatay Turkic history, which he wrote as a continuation of Rashīd al-Dīn's Jāmi' altavārīkh in 1602 and dedicated to Boris Godunov (r. 1598–1605). For instance, listing the names of such Kazakh khans as Jānībeg Khan and Barāq Khan, Jalāyirī relates that a certain Aḥmad Khan "is called Aqmat Khan by the Uzbeks (Özbäkya Aqmat Ḥān tib eyürlär)" [Berezin, 1854]. Describing the left wing and the right wing of Urus Khan's *ulus* called the Alach Thousand and the Qataghīn Thousand, respectively, Jalāyirī states that "these are the ones who have been Alach Thousand's *aghas*. They are famous and well known in Uzbekya (bu Alač mingining aġasï bola kelgān bular turur. Özbäkya arasïnda ma'lūm mashhūr turur)" [Berezin, 1854: 171].

In short, both Muḥammad Ḥaidar Dughlāt and Qādir ʿAlī Bek Jalāyirī viewed the Kazakhs and Uzbeks as belonging to the same Jochid *ulus*.

Conclusion. This paper has conducted a brief but broad-range examination of the Jochid identity as presented in various Ilkhanid, Timurid, Shibanid Uzbek, Crimean Tatar, Ottoman, and "Kazakh" sources composed in the Mongol and post-Mongol periods. The Jochid ulus (people) were referred to as ulūs-i Jūchī or Jochi eli, Uzbek, Tatar, and Toqmaq by their contemporaries. Although the designations Uzbek and Tatar are usually associated in modern scholarly literature with the *ulus* of Abū al-Khair Khan or the modern Uzbeks and the Crimean/Kazan Tatars, respectively, these two ethnonyms were, as demonstrated above, new generic designations attached to the Jochid ulus, who included the Kazakh ancestors, during the post- Mongol period.

Importantly, the Turko-Mongolian sources discussed above do not divide the Jochid *ulus* into "proto-Kazakhs" or "proto-(Shibanid) Uzbeks" or "proto-Crimean Tatars." Furthermore, although the Jochid ulus later split into Shibanid Uzbeks, Kazakhs, and Crimean Tatars, among others, the latter groups were differentiated from each other only politically and not ethnically in the sources. In other words, they were viewed as one and the same people, that is, the same Jochid *ulus* by their contemporaries.

However, the modern descendants of the Jochid ulus, namely, the modern Crimean/Kazan Tatars, Uzbeks, and Kazakhs, among others, have now become different nations. The modern Uzbeks came into existence in 1924 when the Soviet Union created the new Uzbek nation, made up of not only the original Shibanid Uzbeks, but also sedentary Iranic-speaking elements. The modern Uzbeks speak Qarluq Turkic, a Turkic language related to Chaghatay Turkic, not Qipchaq Turkic, which was the lingua franca of the Jochid ulus. Furthermore, the modern Uzbeks view Temür/the Timurids (and the Qarakhanids), not the Shibanid Uzbeks or the Jochid ulus as their progenitors. The modern Crimean Tatars are a mix of the original Tatars and various sedentary elements of Crimea, who were descended from the Goths, Greeks, Italians, Armenians, Alans, and Anatolian Turks, among others. The modern Crimean Tatars mostly speak a hybrid Turkic language (not Qipchaq Turkic) and are experiencing a process of language loss. In contrast, the modern Kazakhs speak Qipchaq Turkic, consist of the tribes and clans that descend from the Ulus of Jochi or the Mongol empire, and view themselves as heirs of the Ulus of Jochi. Consequently, one may argue that the modern Kazakhs are the most representative heirs of the Jochid ulus.

REFERENCES

'Abd al-Bāsiṭ ibn Khalīl ibn Shāhīn al-Ṭāhirī al-Malaṭī, Nayl al-Amal fī Dhayl al-Duwal, ed. 'Umar 'Abd al-Salām Tadmurī (Beirut and Ṣaydā: Al-Maktaba al-'Aṣriyya, 2002), 2:332. This English translation is quoted from Koby Yosef, "Cross-Boundary Hatred: (Changing) Attitudes towards Mongol and 'Christian' Mamlūks in the Mamluk Sultanate," in The Mamluk Sultanate from the Perspective of Regional and World History: Economic, Social and Cultural Development in an Era of Increasing International Interaction and Competition, ed. Reuven Amitai and Stephan Conermann (Göttingen: Bonn University Press, 2019), p. 180.

Aboul-Ghâzi Béhâdour Khân, Histoire des Mongols et des Tatares, trans. Petr I. Desmaisons (St. Petersburg: 1871–1874; repr., Amsterdam: Philo, 1970), pp. 174–75 (text), p. 18 (trans.).

Abdulgaffar Kyrymi, Umdet al-Akhbar, vol. 1, Transkrptsiya, facsimile, ed. I. M. Mirgaleyev (Kazan, Russia: Institut istorii im. SH. Mardzhani AN RT, 2014), p. 67; vol. 2, Perevod, trans. Yu. N. Karimovoy and I. M. Mirgaleyev (Kazan, Russia: Institut istorii im. SH. Mardzhani An RT, 2018), p. 48, 1:92, 2:68, 1:76, 2:55

See Joo-Yup Lee, Qazaqlïq, or Ambitious Brigandage, and the Formation of the Qazaqs: State and Identity in post-Mongol Central Eurasia (Leiden: Brill, 2016), pp. 121–24.

Sharaf al-Dīn ʿAlī Yazdī, Zafar-nāma: Tārīkh-i ʿumūmī mufaṣṣil-i Irān dar daura-i Tīmūrīyān, ed. Muḥammad ʿAbbāsī (Tehran: shirkat-i sahāmī-i chāp-i rangīn, 1336/1957), 1:206; 1:541; 2:34.

Seyid-Mukhammed Riza, Sem' planet v izvestiyakh o korolyakh tatarskikh, vol. 1, Transliteratsiya, ed. R.R. Abduzhemilev (Kazan: Institut istorii im. SH. Mardzhani AN RT, 2019), pp. 93–94, 87

See Yūsuf Ibn Taghrībirdī, al-Manhal al-Ṣāfī wal-Mustawfá baʿda al-Wāfī, ed. Muḥammad Amīn (Cairo: Al-Hayʾa al-Miṣriyya al-ʿĀmma lil-Kitāb, 1984—2006), 4:107. This English translation is quoted from Yosef, "Cross-Boundary Hatred," p. 183-221.

Ibn al-Furāt, "Tārīkh al-duwal wa al-mulūk," in Sbornik materialov, otnosyashchikhsya k istorii Zolotoy ordy, vol. 1, izvlecheniya iz sochineniy arabskikh, trans. and ed. V. G. Tizengauzen (St. Petersburg: 1884), p. 339 (text), p. 350 (trans.).

Evliya Çelebi, Evliya Çelebi Seyahatnâmesi, ed. Yücel Dağlı, Seyit Ali Kahraman, and Robert Dankoff (Istanbul: Yapı Kredi Yayınları, 2000), vol. 7, p. 251.

Gelibolulu Mustafa Âlî, Künhü'l-ahbâr, ed. Derya Örs (Istanbul: Bilnet Matbaacılık ve Yayıncılık A.Ş., 2021), vol. 3, pp. 606–8.

Gelibolulu Mustafa Âlî, Künhü'l-ahbâr, vol. 1, p. 69. Temür was regarded as a Mongol by his contemporaries including the Ottomans. On Temür's Mongol identity, see Joo-Yup Lee, "The Timurid View of the Mongols: An Examination of the Mongol Identity of the Timurids." Iran Namag 6, nos. 3–4 (2021): 200–16.

Rashīd al-Dīn Fażlallāh Hamadānī, Jāmiʿ al-tavārīkh, ed. Bahman Karīmī (Tehran: Intishārāt-i Iqbāl, 1367/1988), pp. 506–7; Rashiduddin Fazlullah, Jamiʿuʾt-tawarikh (Compendium of Chronicles): A History of the Mongols, trans. W. M. Thackston, 3 pts. ([Cambridge, Mass.]: Department of Near Eastern Languages and Civilizations, Harvard University, 1998-99), p. 348.

Rashīd al-Dīn, Jāmiʿ al-tavārīkh, pp. 408, 132, 93, 279. For the identification of Bāyqū with Mayqï Biy by Kazakh historians, see "Köne türikter men qazaqtar žaiyndağy Mońgol fol'klorlyq derektemeleri," in Derektemeler men mūragattyq qūžattar, trans. and ed. M. A. Qūl-Mūhammed and others, Qazaqstan tarihy turaly Mońgol derektemeler 3 (Almaty: Dayk, 2006), p. 144. Mayqï Biy is presented in Mashkhur Zhusup Kupeev's version of the Alasha Khan legend as soemone who played an important role in the foundation of the first Kazakh state as Alasha Khan's guardian. For the version of Alasha Khan legend collected by Mashkhur Zhusup Kupeev, see Zh. O. Artykbaev, Materialy k istorii pravyashchego doma Kazakhov (Almaty: Galym, 2001), pp. 16–17.

Nizām al-Dīn Shāmī, Histoire des conquêtes de Tamerlan intitulée Zafarnāma, par Nizāmuddīn Šāmī, vol. 1, Texte persan du Zafarnāma, ed. F. Tauer (Prague: Oriental Institute, 1937), p. 71.

Mu'īn al-Dīn Naṭanzī, Muntakhab al-tavārīkh-i Mu'īnī, ed. Jean Aubin (Tehran: Khayyam, 1336/1957), p. 93, 349, 427, 425, 336.

Muḥammad Ḥaidar Dughlāt Mīrzā, Tārīkh-i Rashīdī, ed. 'Abbāsqulī Ghaffārī Fard (Tehran: Mīrās-i Maktūb, 2004), pp. 119–120, 187, 541

The seventeenth-century Buddhist Mongolian chroniclers employed the term Toγmaγ for the nomads of the Qipchaq Steppe. For instance, in his Erdeni-yin Tobči, Ssanang Ssetsen refers to the nomads of the Jochid Ulus during the reigns of Esen Taishi (r. 1439–55) and the Kazakh khan Ḥaqq Naẓar (r. 1538–80) as Toγmaγ without distinction. See Ssanang Ssetsen, Erdeni-yin Tobci ('Precious Summary'): A Mongolian Chronicle of 1662, ed. M. Gō, I. de Rachewiltz, J. R. Krueger, and B. Ulaan, vol. 1, The Urga Text (Canberra: The Australian National University, 1990), p. 113, 141, 142. In mentioning the names of several Jochid khans, Lubsangdanjin, the author of the Altan Tobči, also designates both the Uzbek khan Muḥammad Shībānī and the Kazakh khan Ḥaqq Naẓar as Toγmaγ. Lubsangdanjin, Altan Tobči: eine mongolische Chronik des XVII. Jahrhunderts von Blo bzan bstan'jin, ed. Hans-Peter Vietze and Gendeng Lubsang (Tokyo: Institute for the Study of Languages and Cultures of Asia and Africa, 1992), p. 90.

Ötämiš Ḥājī, Čingīz-Nāma: Introduction, Annotated Translation, Transcription and Critical Text, trans. and ed. Takushi Kawaguchi and Hiroyuki Nagamine, Studia Culturae Islamicae 94 (Tokyo: Research Institute for Languages and Cultures of Asia and Africa, 2008), p. 86 (49a-b).

Fażlallāh b. Rūzbihān [Isfahānī] Khunjī, Mihmān-nāma-i Bukhārā: Tārīkh-i pādshāhī-i Muḥammad Shībānī, ed. Manūchihr Sutūda (Tehran: Bungāh-i Tarjuma va Nashr-i Kitāb, 1341/1962), p. 41, 171

For the Crimean Tatar diplomatic letters, see Uğur Ünal and Kemal Gürülkan, Osmanlı belgelerinde Kırım Hanlığı: Crimean Khanate in Ottoan Documents (Istanbul: T.C. Başbakanlık Devlet Arşivleri Genel Müdürlüğü, 2013).

Qādir ʿAlī Bek Jalāyirī, Sbornik letopisei: Tatarskii tekst, s russkim predisloviem, ed. I. Berezin (Kazan, 1854), p. 164-171

Zain al-Dīn b. Ḥamd Allāh Mustaufī Qazvīnī, "Tārīkh-i guzīda," in Sbornik materialov, otnosyashchikhsya k istorii Zolotoy ordy, Vol. 2, Izvlecheniya iz persidskikh sochineniy, trans. and ed. V. G. Tizengauzen (Moscow and Leningrad: Izd-vo Akademiya nauk SSSR, 1941), pp. 221–22 (text), p. 95, 97 (trans.).

Автор туралы мәліметтер: Джю-Юп Ли, Ph.D., Торонто университеті, Канада. Сведения об авторе: Джю-Юп Ли, Ph.D. Университет Торонто, Канада. **Information about the author:** *Joo-Yup Lee*, Ph.D. University of Toronto, *Canada*.

Редакцияга түсті / Поступила в редакцию / Entered the editorial office: 14.05.2024. Рецензенттер мақұлдаған / Одобрено рецензентами / Approved by reviewers: 05.06.2024. Жариялауға қабылданды / Принята к публикации / Accepted for publication: 07.06.2024.